DTO3 Rec'd PCT/PIU U 8 DEC 2004

Form PTO-1390 U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE (REV 01-2003)

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. §371

ATTORNEY'S DOCKET NUMBER 9526-46

JS. 4PB/C7T5 1775 537 **3** \$1.5)

INTERNATIONAL APPLICATION I	NO.
PCT/EP2003/005840	

INTERNATIONAL FILING DATE 4 June 2003 PRIORITY DATE CLAIMED 28 June 2002

TITLE OF INVENTION

MULTISERVICE HEAT EXCHANGE UNIT

APPLICANT(S) FOR DO/EO/US

FILIPPI et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. §371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. §371.
- 3. [X] This is an express request to begin national examination procedures (35 U.S.C. §371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
- 4. [X] The U.S. has been elected (Article 31).
- 5. [X] A copy of the International Application as filed (35 U.S.C. §371(c)(2))
 - a. [X] is attached hereto (required only if not communicated by the International Bureau).
 - b. [] has been communicated by the International Bureau.
 - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US)
- 6. [] An English language translation of the International Application as filed (35 U.S.C. §371(c)(2)).
 - a. [] is attached hereto.
 - b. [] has been previously submitted under 35 U.S.C. §154(d)(4).
- 7. [X] Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. §371(c)(3))
 - a. [] are attached hereto (required only if not communicated by the International Bureau).
 - b. [] have been transmitted by the International Bureau.
 - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
 - d. [X] have not been made and will not be made.
- 8. [] An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. §371(c)(3)).
- 9. [X] An oath or declaration of the inventor(s) (35 U.S.C. §371(c)(4)).
- 10. [] An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. §371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

- 11. [X] An Information Disclosure Statement under 37 CFR §1.97 and §1.98.
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR §3.28 and §3.31 is included.
- 13. [X] A preliminary amendment.
- 14. [X] An Application Data Sheet under 37 CFR §1.76.
- 15. [] A substitute specification.
- 16. [] A power of attorney and/or change of address letter.
- 17. [] A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR §1.821 1.825.
- 18. [] A second copy of the published international application under 35 U.S.C. §154(d)(4).
- 19. [] A second copy of the English language translation of the international application under 35 U.S.C. §154(d)(4).
- 20. [X] Other items or information:
 - a. [X] Return Receipt Postcard
 - b. [X] Submission of Annexes to the International Preliminary Examination Report

page 1 of 2

			1	DTN5 Rec'd PCT/P1	0 0 8 DEC 201		
U.S. APPLICATION OF	(france) 37 C.F.R. 1.5)	INTERNATIONAL APPL PCT/EP2003/005840	ICATION NO.	ATTORNEY'S (9526-46	DOCKET NUMBER		
21. [X] The following fees are submitted:			CALCULATIONS	PTO USE ONLY			
BASIC NATIONAL FEE (37 CFR §1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR §1.482) nor international search fee (37 CFR §1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO\$1110.00							
International preliminary examination fee (37 CFR §1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO\$950.00							
International preliminary examination fee (37 CFR §1.482) not paid to USPTO but international search fee (37 CFR §1.445(a)(2)) paid to USPTO\$790.00							
International preliminary examination fee paid to USPTO (37 CFR §1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4)							
International preliminary examination fee paid to USPTO (37 CFR §1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00				1			
ENTER APPROPRIATE BASIC FEE AMOUNT =			\$ 950.00				
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR §1.492(e)).				\$			
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total claims	15 -20 =	0	X \$18.00	\$			
Independent claims	1 -3=	0	X \$88.00	\$			
MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$300.00			\$				
TOTAL OF ABOVE CALCULATIONS =			\$ 950.00				
[X] Applicant claims small entity status. See 37 CFR §1.27. The fees indicated above are reduced by ½.			\$-475.00				
SUBTOTAL =			\$ 475.00				
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR §1.429(f)).			\$				
TOTAL NATIONAL FEE =			\$ 475.00				
Fee for recording the enclosed assignment (37 CFR §1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR §§3.28, 3.31). \$40.00 per property.			\$ 40.00				
TOTAL FEES ENCLOSED =			\$ 515.00				
				Amount to be refunded:	\$		
	p alla	, , , , , , , , , , , , , , , , , , , ,		Charged	\$		
a. [X] A check in the amount of \$ 515.00 to cover the above fees is enclosed.							
b. [] Please charge my Deposit Account No. 50-0951 in the amount of \$00 to cover the above fees. A duplicate copy of this sheet is enclosed.							
c. [X] The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0951. A duplicate copy of this sheet is enclosed.							
d. [] Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an app be filed and granted t	propriate time limit und to restore the application	er 37 CFR §1.494 has r on to pending status.	not been met, a pe	etition to revive (37 CFR §	§1.137(a) or (b)) must		
SEND ALL CORRE J. Rodman Steele,	SPONDENCE TO: Jr., Tel: (561) 653-500	00	0m	_			
	• •	SIGNATURE					
Customer N	umber 30448	Mark D. Pass NAME	sler				
		40,764 REGISTRAT	ION NUMBER		·		

page 2 of 2





IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of FILIPPI et al.

Application No.

Examiner:

Filed: Herewith

Group Art Unit:

For:

MULTISERVICE HEAT EXCHANGE UNIT

SUBMISSION OF COPY OF INTERNATIONAL APPLICATION

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please find attached a copy of the International application as filed. Please note that the application attached hereto is for information purposes only, as an amended version is filed herewith.

Respectfully submitted,

Dated: 12-8-04

Docket No. 9526-46

Mark D. Passler

Registration No. 40,764

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